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Higher spatial derivative field theories

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In this work, we employ renormalization group methods to study the general behavior of field theories possessing anisotropic scaling in the spacetime variables. The Lorentz symmetry breaking that accompanies these models are either soft, if no higher spatial derivative is present, or it may have a more complex structure if higher spatial derivatives are also included. Both situations are discussed in models with only scalar fields and also in models with fermions as a Yukawa-like model.

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I. INTRODUCTION

Quantum field theories with anisotropic spacetime scaling have been recently considered in the literature in the search for viable frameworks for quantum gravity [1] and also for the completion of nonrenormalizable effective field theories [2]. The fundamental assumption of these theories is that time and space behave differently under field theories [2]. The fundamental assumption of theses approaches is that time and space behave differently under field theories [2]. The fundamental assumption of these theories is that time and space behave differently under field theories [2]. The fundamental assumption of these theories is that time and space behave differently under field theories [2]. The fundamental assumption of these theories is that time and space behave differently under field theories [2]. The fundamental assumption of these theories is that time and space behave differently under field theories [2]. The fundamental assumption of these theories is that time and space behave differently under field theories [2].

Concerning the Lorentz symmetry breaking, different situations may arise from modifications on the kinetic part of a given Lagrangian which keep the dependence on the time derivative unchanged:

(1) The coefficient of the spatial derivative is modified, but higher derivatives are not present. In models for a single field, this is physically innocuous since it may be adjusted to any finite value by a mere change of dimensional units; no breaking of Lorentz symmetry really occurs. However, in models with more than one field, the modification may have physical implications with radiative corrections which propagate with different velocities such that the breaking of Lorentz symmetry may increase with the energy. In Ref. [5], this possibility was shown to occur in a model of fermions and bosons interacting via a trilinear coupling in four dimensions. As we shall see, the same happens in a model of two scalar fields coupled through trilinear interaction terms in six dimensions. For completeness, we also discuss the case of a Yukawa-like model. Whenever the Lorentz symmetry may be restored, we say that the breaking is soft.

(2) Higher spatial derivatives are introduced, i.e., we have a truly anisotropic model. In this case, the coefficient of some of the higher derivatives generally changes by effect of the radiative corrections in such way that the breaking of Lorentz symmetry is either soft in the infrared or becomes stronger by decreasing the energy. It must be stressed here that “soft” in this anisotropic situation only means that the effective coefficient of a higher spatial derivative term decreases; in general, it cannot vanish as the model may become nonrenormalizable. In this situation, we shall consider the possibility of the Lorentz symmetry restoration in an approximated sense.

In this work, we will pursue these studies focusing some aspects of the renormalization of anisotropic field theories. With the ultraviolet improved free propagators, usual Lorentz symmetric models which are renormalizable in a certain dimension will become renormalizable in a higher dimension. Thus, with \( z = 2 \), the \( \varphi^3 \) and \( \varphi^4 \) models which are renormalizable in 6 and 4 spacetime dimensions will become renormalizable in 10 and 6 spatial dimensions, respectively. It should be noticed that although closed forms for the Feynman amplitudes are in general unfeasible, it is possible to calculate the renormalization constants and to determine the flows of relevant coupling constants. This may be done by an application of the Bogoliubov-Parasiuk-Hepp-Zimmermann (BPHZ) renormalization theorem to dimensionally regularized integrals, as it will be described shortly. As an application, we consider the \( \varphi^3 \) model in ten dimensions and with \( z = 2 \) which is asymptotically free. Because of this property, it is not possible to obtain reliable results in the small momenta region. Nevertheless, this model furnishes a simpler setting to expose our methods and discuss some properties of anisotropic field theories as unitarity. Afterward, we analyze the
\(\varphi^4\) model in six space dimensions which presents an increased degree of complexity as the calculations of the renormalization constants involve a two-loop diagram. In spite of that, for a special configuration of the initial values of the effective parameters, we found an explicit solution for the renormalization group equations.

We also consider a model of fermionic and bosonic fields interacting via a Yukawa-like Lagrangian in six space dimensions with \(z = 2\). As we shall see, differently from the \(\varphi^4\) model in 10 spatial dimensions, this model is infrared-stable at the origin, and so it seems to be a good candidate for testing the recuperation of Lorentz symmetry at low momenta. Indeed, for the four-dimensional model and without the higher-derivative term, we will demonstrate that Lorentz symmetry is restored for small momenta if initially it was broken by assuming different light velocities for the boson and fermionic components. When higher spatial derivatives are introduced, we performed a numerical analysis, which is required by the complexity of the renormalization group equations which prevents the existence of closed analytical solutions. In this last situation, we found that the Lorentz-symmetry-breaking parameters also decrease in the infrared region.

Our work is organized as follows. In Sec. II, we consider a six-dimensional model of two scalar fields interacting through trilinear couplings as a tool to study the soft breaking of Lorentz symmetry; in this preliminary study, no higher derivatives are present. In this context, we also analyze the soft breaking of the Lorentz symmetry in a Yukawa-like model. In Sec. III, we present some general remarks and the formalism we shall use in this work. A subsection is devoted to the unitarity problem in higher-derivative models. Sections IV and V are dedicated to the analysis of renormalizable versions of the \(\varphi^4\) and Yukawa models which are infrared-stable. A summary and additional remarks are presented in the conclusions. Two appendices to study integrals needed in our studies and which provide additional details on the computation of the renormalization group parameters are included.

**II. SOFT BREAKING OF LORENTZ SYMMETRY**

Before considering truly anisotropic models which contain higher derivatives, here, we will analyze the soft breaking of Lorentz symmetry in two situations, namely, a six-dimensional model with two scalar fields and a Yukawa-like model in four dimensions. Let us begin by considering the purely bosonic model described by the Lagrangian density:

\[
\mathcal{L} = \frac{1}{2} \partial_0 \varphi \partial_0 \varphi - \frac{b_{\varphi}^2}{2} \partial_i \varphi \partial_i \varphi - \frac{m_{\varphi}^2}{2} \varphi^2 + \frac{1}{2} \partial_0 \phi \partial_0 \phi - \frac{b_{\phi}^2}{2} \partial_i \phi \partial_i \phi - \frac{m_{\phi}^2}{2} \phi^2 - \frac{\lambda_1}{3!} \varphi^3 - \frac{\lambda_2}{3!} \phi^3 - \frac{\lambda_3}{2} \varphi^2 \phi - \frac{\lambda_4}{2} \phi^2 \varphi. \tag{1}
\]

The free propagators for the \(\varphi\) and \(\phi\) fields derived from this Lagrangian,

\[
\Delta_{\varphi}(p) = \frac{i}{k_0^2 - b_{\varphi}^2 p^2 - m_{\varphi}^2 + i\epsilon} \quad \text{and} \quad \Delta_{\phi}(p) = \frac{i}{k_0^2 - b_{\phi}^2 p^2 - m_{\phi}^2 + i\epsilon}, \tag{2}
\]

will be represented by dashed and dotted lines, respectively. With this graphical notation, the one-loop contributions for the two-point vertex functions \(\Gamma_{\varphi}^{(2)}\) and \(\Gamma_{\phi}^{(2)}\) are drawn in Fig. 1. Notice that the corresponding analytic expressions, which are supposed to be regularized by taking the model to \(D = 6 - \epsilon\) dimensions, are exchanged by the replacements \((\varphi, \lambda_1, \lambda_3) \mapsto (\phi, \lambda_2, \lambda_3, \lambda_4)\). The renormalization group flows of the parameters in Eq. (1) are fixed by the introduction of dimensionless coupling constants \(\lambda_i \mapsto \mu^{\epsilon/2} \lambda_i\) and the computation of the pole part (PP) of the relevant diagrams.

Following standard procedures, we found that the dependence on the external momentum of the pole part of the diagrams in Fig. 1 are

\[
\text{PP[Fig 1a]} = \frac{i(p_0^2 - b_{\varphi}^2 p^2)\lambda_1^2}{12(4\pi)^3 b_{\varphi}^2} \frac{2}{\epsilon},
\]

\[
\text{PP[Fig 1b]} = \frac{i(p_0^2 - b_{\varphi}^2 p^2)\lambda_2^2}{12(4\pi)^3 b_{\phi}^2} \frac{2}{\epsilon},
\]

\[
\text{PP[Fig 1c]} = \frac{i\lambda_3^2}{(4\pi)^3 b_{\phi}^2} \left[ \frac{1}{6} p_0^2 - \left( \frac{b_{\phi}^2}{2} - \frac{1}{3} \frac{b_{\phi}^2}{b_{\varphi}^2} p^2 \right) \right] \frac{1}{\epsilon},
\]

\[
\text{PP[Fig 1f]} = \frac{i\lambda_4^2}{(4\pi)^3 b_{\phi}^2} \left[ \frac{1}{6} p_0^2 - \left( \frac{b_{\phi}^2}{2} - \frac{1}{3} \frac{b_{\phi}^2}{b_{\varphi}^2} p^2 \right) \right] \frac{1}{\epsilon},
\]

whereas PP[Fig 1d] and PP[Fig 1e] are obtained from PP[Fig 1b] and PP[Fig 1a] by replacing \(\lambda_4\) by \(\lambda_2\) and \(\lambda_1\) by \(\lambda_3\), respectively. Using these results, we may obtain the beta functions associated with the parameters \(b_{\varphi}^2\) and \(b_{\phi}^2\):

\[
\beta_{b_{\varphi}^2} = \frac{1}{3(4\pi)^3 b_{\varphi}^2} (b_{\varphi}^2 - b_{\phi}^2) \left( \frac{\lambda_1^2}{2} + \frac{b_{\phi}^2}{b_{\varphi}^2} \lambda_3^2 \right). \tag{4}
\]
\[ \beta_{\phi^3} = -\frac{1}{3(4\pi)^2 b^5_{\phi}} (b^2_{\phi} - b^2_{\phi}) \frac{\lambda^2_3 + \frac{1}{2} \frac{b^2_{\phi}}{b^2_{\phi}} \lambda^2_4}{\lambda^2_3} \] . (5)

The basic reason why these expressions do not depend neither on \( \lambda_1 \) nor on \( \lambda_2 \) is that the would-be contributions are the same as in the case where there is only one field, either \( \phi \) or \( \psi \). As we mentioned in the introduction, in those cases, the parameter \( b_{\phi} \) or \( b_{\psi} \) does not receive radiative contributions which implies that the corresponding \( \beta \) function vanishes.

Notice that \( b_{\phi} = b_{\psi} = b \) is a renormalization group fixed point where the Lorentz symmetry holds. Now, if \( b_{\phi} > b_{\psi} \), then, by lowering the energy, \( b_{\phi} \) decreases and \( b_{\psi} \) increases, and the fixed point is infrared-stable, the two parameters approaching the fixed point value. Similarly, if \( b_{\phi} > b_{\psi} \), \( b_{\phi} \) decreases and \( b_{\psi} \) increases by lowering the energy, the two parameters again tending to the fixed point \( b \). We conclude that in any case, the Lorentz symmetry is restored in the low-energy regime.

We will also determine the pole part of the three point vertex functions. Observe that in this case, any given diagram either has all three internal lines of the same type or has two equal lines but of a type different from the remaining one. In the last situation, the integrals to be computed have the general form

\[ I = (-i \lambda)^3 \int d^4 k (\frac{1}{2 \pi^2})^4 \left[ \frac{i^2}{k^2_B - A^2 k^2 - m^2_A} \right] \]

where \( \lambda^3 \) symbolically represents the factor associated with the coupling constants and either \((A, B) = (b_{\phi}, b_{\psi})\) or \((A, B) = (b_{\psi}, b_{\phi})\). A straightforward calculation yields then the following pole part:

\[ \text{PP}(I) = -\frac{i \lambda^3}{(4\pi)^3} \frac{2(2A + B)}{3A^3 B(A + B)^2} \frac{1}{\epsilon} . \] (7)

The above result allows us to calculate the beta functions associated with the various coupling constants. For example, we obtain

\[ \beta_{\lambda_3} = \frac{1}{(4\pi)^3 b^5_{\phi}} \left[ -\frac{3}{4} \frac{b^5_{\phi}}{b^5_{\phi}} \lambda^3_3 - \lambda^3_4 
- \frac{4}{3} \frac{b^3_{\phi} (2b_{\phi} + b_{\psi})}{b^3_{\phi} (b_{\phi} + b_{\psi})} \lambda^2_3 \lambda_4 
+ \left( \frac{1}{4} - \frac{4}{3} \frac{b^3_{\phi} (2b_{\phi} + b_{\psi})}{b^3_{\phi} (b_{\phi} + b_{\psi})} \right) \lambda^2_3 \lambda_4 + \frac{1}{4} \frac{b^3_{\phi}}{b^3_{\phi}} \lambda^2_4 \right] . \] (8)

Let us now turn our attention to a Yukawa like model specified by

\[ L = \frac{1}{2} \frac{m^2}{2} \frac{2}{\phi^2} \frac{2}{\psi^2} \]

\[ + \frac{1}{2} \frac{m^2}{2} \frac{2}{\phi^2} \frac{2}{\psi^2} \exp \left( i \gamma_0 \frac{2}{\phi^2} \right) i \frac{2}{\phi^2} \exp \left( i \gamma_0 \frac{2}{\psi^2} \right) . \] (9)

In this case, we find

\[ \beta_{\phi^3} = \frac{1}{4 \pi^2} \frac{b^2_{\phi}}{b^3_{\phi}} (b_{\phi} - b_{\psi}) g^2 \] (10)

and

\[ \beta_{\psi^3} = -\frac{1}{6 \pi^2} b_{\phi} (b_{\phi} + b_{\psi}) \frac{1}{b_{\phi} (b_{\phi} + b_{\psi})} (b_{\phi} - b_{\psi}) g^2 , \] (11)

which, analogously to the purely bosonic model, shows that \( b_{\phi} = b_{\psi} \) is also an infrared renormalization fixed point where Lorentz symmetry holds.

### III. ANISOTROPIC SCALING: GENERAL CONSIDERATIONS

The anisotropic field theories with bosonic and fermionic components which we will consider have the generic form

\[ L = L_0 + L_{\text{int}} , \] (12)

where the free part, \( L_0 \), is given by

\[ L_0 = \frac{1}{2} \frac{m^2}{2} \frac{2}{\phi^2} \frac{2}{\psi^2} \exp \left( i \gamma_0 \frac{2}{\phi^2} \right) i \frac{2}{\phi^2} \exp \left( i \gamma_0 \frac{2}{\psi^2} \right) . \] (13)

for bosonic fields and

\[ L_0 = \frac{1}{2} \frac{m^2}{2} \frac{2}{\phi^2} \frac{2}{\psi^2} \exp \left( i \gamma_0 \frac{2}{\phi^2} \right) i \frac{2}{\phi^2} \exp \left( i \gamma_0 \frac{2}{\psi^2} \right) - M \bar{\psi} \psi \] (14)

for fermionic fields. In these expressions, each Latin index runs from 1 to \( d \); the spatial dimension of the model and the effective signs of the \( \alpha \)’s and \( \beta \)’s have to be chosen so that the energy associated with \( L_0 \) is positive. \( L_{\text{int}} \) describes the interaction between these fields. Notice that \( z \) designates the highest degree of the spatial derivatives, and we have included terms with less derivatives as they may be necessary in the renormalization process. As each power of \( x_0 \) scales as \( z \) powers of \( x_i \), the effective dimension of the Lagrangian is \( z + d \). Then, by taking \( \alpha \)’s and \( \beta \)’s to be dimensionless, we find that effective dimensions of \( \phi \) and \( \psi \) are, respectively,

\[ \text{Dim}[\phi] = \frac{d - z}{2} \quad \text{and} \quad \text{Dim}[\psi] = \frac{d}{2} . \] (15)
We will be dealing with Feynman amplitudes of the form
\[ \int \prod_{i=1}^{L} dk_i I_G(k, p, m), \quad (16) \]
where \( L \) is the number of loops and \( k = (k_1, \ldots, k_L) \) and \( p = (p_1, \ldots, p_N) \) are, respectively, the loop momenta and external momenta associated to a generic proper (i.e. one-particle-irreducible) Feynman diagram \( G \). The unsubtracted amplitude \( I_G \) is a product of anisotropic propagators and monomials in the momenta of the lines joining at the vertices of \( G \),
\[ I_G(k, p, m) = \prod_a P_a(k, p) \prod_{abc} \Delta_F(l_{abc}), \quad (17) \]
with
\[ \Delta_F(l) = \frac{P(l)}{l^2_0 + \sum_{i=1}^n b_i l - m^2 + i\epsilon 2i}, \quad (18) \]
where \( l = (l_0, l) \) is the momentum flowing through a line of \( G \), the \( b_i \) are simple functions of the \( \alpha \)'s, \( \beta \)'s, and \( M (b_i = \alpha_i \) for a bosonic propagator and \( M = M \) for a fermionic one), and \( P(l) = i \) for a bosonic propagator and a polynomial of first degree in \( l^0 \) and of \( z \) degree in \( l \), for a fermionic propagator. The degree of superficial divergence of \( G \) is fixed by power counting in which each power of the timelike component of a vector counts as the \( z \) power of its spatial like component. This gives
\[ d(G) = (d + z)L - 2zn_B - zn_F + \sum_a D_a, \quad (19) \]
where \( n_B \) and \( n_F \) are the number of bosonic and fermionic internal lines of \( G \); \( D_a \) is the degree of the monomial \( P_a(k, p) \) assigned to the vertex \( V_a \). Now, if \( G \) has \( V \) vertices, \( L = n_B + n_F - V + 1 \), and, therefore,
\[ d(G) = d + z - (z - d)n_B + d(z - n_B + \sum_a D_a - d - d) - z, \quad (20) \]
We also have the topological identities
\[ 2n_B + N_B = \sum_a \nu_a^B \quad \text{and} \quad 2n_F + N_F = \sum_a \nu_a^F, \quad (21) \]
where \( N_B \) and \( N_F \) are the number of external bosonic and fermionic lines and \( \nu_a^B \) and \( \nu_a^F \) are the number of bosonic and fermionic lines joining at the vertex \( V_a \). Using these relations, we obtain
\[ d(G) = d + z - \text{Dim}[\varphi]N_B - \text{Dim}[\psi]N_F - \sum_a (d + z - \text{Dim}[V_a]), \quad (22) \]
where \( \text{Dim}[V_a] = D_a + \text{Dim}[\varphi] \nu_a^B + \text{Dim}[\psi] \nu_a^F \) is the “canonical” operator dimension of the term in \( \mathcal{L}_{\text{int}} \) associated with \( V_a \). As usual, we classify a given vertex \( V_a \) as being nonrenormalizable, renormalizable, or super-renormalizable according to whether it has dimension greater, equal, or less than \( z + d \). Thus, a purely fermionic theory with a quartic nonderivative self-interaction is renormalizable if \( z = d \) and super-renormalizable if \( z > d \). A renormalized amplitude associated with the graph \( G \) may be obtained by applying subtraction operators arranged according Zimmermann’s forest formula [7]. For the special case in which \( G \) is primitively divergent (i.e., without divergent subgraphs), the integral in Eq. (16) can be made finite by replacing \( I(G) \) by
\[ R(G) = (1 - t^{(d)} G) I_G \]
\[ = I_G \sum_{s=0}^{[d(G)/z]} \frac{\partial^s}{\partial p_0^s} \left( \frac{d(G)}{s!} \sum_{n=0}^{\infty} \frac{p_{i_1} \cdots p_{i_n}}{n!} \right) I_G, \quad (23) \]
where \([x]\) is the greatest integer less than or equal to \( x \). \( p_0^s \) symbolically stands for the product of \( s \) timelike components of an independent set of external momenta, \( p_i \) denotes the \( i \)th spacelike momentum (with the index of the component implicit), and all derivatives are computed at zero external momenta. Actually, in our one-loop calculations performed in the next sections, we will use the above result just to unveil most easily the pole part of dimensionally regularized amplitudes; afterward, we apply our renormalization prescription which consists of removing these pole parts, what is usually called the “minimal subtraction scheme.” Besides, throughout this work, we will take the critical exponent \( z \) to be two.

As in the usual isotropic situation, massless theories require a special consideration. In these cases, it is better to use modified Taylor operators so that the last subtraction is performed replacing \( m \) by an auxiliary mass parameter \( \mu \) which plays the role of a renormalization point.

Using this scheme, the BPHZ normal product algorithm can be extended to the present situation. Thus, if \( \mathcal{O} \) is a formal product of the basic fields and their derivatives, a normal product of degree \( \delta, N_\delta[\mathcal{O}] \), is defined in the usual way [8]. Notice that \( \delta = \text{Dim}[\mathcal{O}] + c \), where \( c \) is a non-negative integer and the dimension of \( \mathcal{O}, \text{Dim}[\mathcal{O}] \), is computed counting \( z \) for each “time” derivative, \( l \) for each spatial derivative and the dimensions of the basics fields as fixed before. As in the isotropic situation, these normal products satisfy a number of convenient properties which allows a systematic way for deriving Ward identities and computing their anomalies. In particular, it should be noticed that, inside a Green function,
\[ \partial_\mathcal{O} N_\delta[\mathcal{O}] = N_{\delta + 1}[\partial_\mathcal{O}], \quad \partial_0 N_\delta[\mathcal{O}] = N_{\delta - z}[\partial_0 \mathcal{O}]. \quad (24) \]

A simple example

As a simple example of the methods exposed in the previous section, we will examine a renormalizable...
anisotropic $\varphi^3$ model which was first considered in Ref. [5]. Renormalizability requires $\text{Dim}[\varphi^3] = d + z$, which for $z = 2$, fixes $d = 10$. In this situation, the degree of superficial divergence of a proper graph $G$ is given by

$$d(G) = 12 - 4N,$$

where $N$ is the number of external lines of $G$. Taking this into consideration, the Lagrangian including counterterms to cancel the pure pole part is

$$\mathcal{L} = \frac{1}{2} \partial_0 \varphi \partial_0 \varphi - \frac{b^2}{2} \partial_i \varphi \partial_i \varphi - \frac{m^2}{2} \varphi^2 - \frac{d^2}{2} \varphi \Delta^2 \varphi + \frac{1}{2} \left( \frac{b}{2} \right) (\partial_0 \varphi \partial_0 \varphi - m^2 \varphi^2)
- \frac{b^2}{2} (Z_b - 1) \partial_i \varphi \partial_i \varphi - \frac{\delta m^2 Z \varphi}{2} \varphi^2
- \frac{a^2}{2} (Z_a - 1) \varphi \Delta^2 \varphi
- \frac{\lambda Z_b}{3!} \varphi^3 + c \varphi
= \mathcal{L}_0 + \mathcal{L}_{\text{int}},$$

(26)

where $\Delta$ is the spatial Laplacian and

$$\mathcal{L}_0 = \frac{1}{2} \partial_0 \varphi \partial_0 \varphi - \frac{b^2}{2} \partial_i \varphi \partial_i \varphi - \frac{a^2}{2} \varphi \Delta^2 \varphi - \frac{m^2}{2} \varphi^2,$$

(27)

with the constant $c$ adjusted to eliminate all tadpoles. Thus, at one-loop order, divergent graphs have two or three external lines and are quartically or logarithmically divergent, respectively.

Let us analyze each case separately:

(I) One-loop correction to the two point function—The regularized amplitude is given by

$$\Sigma(p) = \frac{\lambda^2}{2} \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \frac{1}{k^2 - a^2(k)^2 - m^2 + i\epsilon}
\times \frac{1}{(k + p)^2 - a^2[(k + p)^2]^2 - m^2 + i\epsilon},$$

(28)

where $k^2 \equiv k_0^2 - b^2 k^2$ and $d = 10 - \epsilon$. As mentioned before, because of the higher power of the spatial momentum in the denominators, $\Sigma$ does not possess a closed analytic expression. However, to compute its pole part, it is enough to calculate the action of the Taylor operator $t^4$ on the above integrand. This leads to integrals which, after using the spatial rotational symmetry, have the general form

$$J(x, y, z) = \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \frac{k_0^4 |k|^\nu}{[k_0^2 - b^2 k^2 - a^2(k)^2 + m^2]^2},$$

(29)

where for $d = 10$, the parameters $x, y, z$ are such that $2x + y - 4z + 12$ is either equal to 0, 2, or 4. In these cases, neglecting finite parts, $J(x, y, z)$ is given by Eq. (A6) in Appendix A. With the help of that result, we have:

(a) Omitting some finite contributions, the term with four spatial derivatives yields

$$p_i p_j p_k p_l \frac{\partial^4 \Sigma(p)}{\partial p_i \partial p_j \partial p_k \partial p_l} \bigg|_{p = 0}
= \frac{\lambda^2}{2} \frac{p^2}{2} \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \frac{[(16 b^2 a^2(k)^2)]^2 + 16 a^4(k)^4}{[\text{den}]^4} \frac{1}{[\text{den}]^4}
+ \frac{768 a^6(k)^4}{d[\text{den}]^5} + \frac{3(2)^7 a^4(k)^2}{d[\text{den}]^4}
+ \frac{3(2)^{11} a^8(k)^6}{d(d + 2)[\text{den}]^6} + \frac{9(2)^9 a^6(k)^4}{d(d + 2)[\text{den}]^5}
+ \frac{3(2)^8 a^4(k)^2}{d(d + 2)[\text{den}]^3},$$

(30)

where $\text{den} \equiv k^2 - m^2 - a^2(k)^2 + i\epsilon$. Performing the integrals, we find that their pole part yields the result

$$-i \frac{11}{15(2)^{18}} \frac{\lambda^2}{\beta^3} \frac{\gamma^2}{m^2} \frac{1}{a^5} \frac{1}{d - 10} p^2.$$

(b) The term with two derivatives with respect to the components of $\mathbf{p}$ may be calculated analogously—One finds

$$p_i p_j \frac{\partial^2 \Sigma(p)}{\partial p_i \partial p_j} \bigg|_{p = 0}
= \frac{\lambda^2}{2} \frac{p^2}{2} \frac{b^2}{[\text{den}]^3} + \frac{16 a^4(k)^2}{d[\text{den}]^3}
+ \frac{2a^2 k^2}{[\text{den}]^3} + \frac{4a^2 k^2}{d[\text{den}]^3},$$

(32)

such that the pole part is

$$-i \frac{5}{3(2)^{17}} \frac{\beta^2}{\gamma^2} \frac{1}{a^5} \frac{1}{d - 10} p^2.$$

(c) The term with two derivatives with respect to $p_0$ gives

$$p_0^2 \frac{\partial^2 \Sigma(p)}{\partial p_0 \partial p_0} \bigg|_{p = 0}
= \frac{p_0^2}{2} \int \frac{dk_0}{2\pi} \frac{d^d k}{(2\pi)^d} \frac{3}{[\text{den}]^3} + \frac{4a^2(k)^2}{[\text{den}]^3},$$

(33)

providing the pole part
\[ -i \frac{1}{3(2)^{17}} \frac{\lambda^2}{a^2} + \frac{1}{a} \frac{\partial^2}{\partial t^2} - 10 p_0^2. \]  

(35)

(d) Similarly, the term without derivatives computed at zero external momentum furnishes the pole part

\[ i \frac{1}{(2)^{18}} \frac{\lambda^2}{a^2} \frac{1}{d - 10} (-5b^4 + 4a^2m^2). \]  

(36)

Collecting the above results we can write

\[ \Sigma(p) = i \frac{1}{(2)^{18}} \frac{\lambda^2}{a^2} \frac{1}{d - 10} \left(\frac{4a^2m^2 - 5b^4}{a^7} - \frac{2}{3} \frac{p_0^2}{a^5} - \frac{10b^2}{3} \frac{p^2}{a^5} - \frac{11}{15} \frac{(p^2)^2}{a^5}\right) + \text{finite terms.} \]  

(37)

(II) Completing the computation of counter terms—To complete our computation of the counterterms, we need to consider the three-point function. At the one-loop level, a direct calculation gives that

\[ \Gamma^{(3)} = -i\lambda + i \frac{1}{(2)^{18}} \frac{\lambda^3}{a^5} \frac{1}{d - 10} + \text{finite terms.} \]  

(38)

We are now in a position to determine the renormalization group flows of the parameters of the model. In fact, the \( N \) point vertex functions of the model satisfy a ’t Hooft-Weinberg renormalization group equation,

\[ \left[ \mu \frac{\partial}{\partial \mu} + \delta \frac{\partial}{\partial m^2} + \beta_\nu \frac{\partial}{\partial b^2} + \beta_\lambda \frac{\partial}{\partial \lambda^2} + \beta_\lambda \frac{\partial}{\partial \lambda^3} - \mu^2 \right] \Gamma^{(N)}(p, m^2, b^2, a^2, \lambda, \mu) = 0, \]  

(39)

where the renormalization scale \( \mu \) was introduced by replacing \( \lambda \rightarrow \mu^{\epsilon/2} \lambda \), where \( \epsilon = 10 - d \), so that the new coupling constant \( \lambda \) is dimensionless. After removing the pure pole part, the renormalization group parameters may be then obtained by replacing into Eq. (39) the renormalized vertex functions

\[ \Gamma^{(2)} = i \left[ p_0^2 - b^2 \left( \frac{1}{a} + \frac{1}{d - 10} \right)^2 - m^2 - \frac{1}{a^5} (\text{Finite}_1 - \ln \mu \text{Residue}_1) \right] \]  

(40)

and

\[ \Gamma^{(3)} = -i\lambda + i \lambda^3 (\text{Finite}_2 - \ln \mu \text{Residue}_2), \]  

(41)

where Finite\(_{1,2}\) are the finite parts and Residue\(_{1,2}\) are the residues at the poles of the corresponding vertex functions. We find

\[ \beta_\nu = \frac{b^2}{2(1)^{10}} \frac{\lambda^2}{a^3}, \quad \beta_\lambda = \frac{7}{5(2)^{10}} \frac{\lambda^2}{a^3}, \quad \beta_m = \frac{3}{2(1)^{10}} \frac{\lambda^3}{a^5}, \quad \gamma = \frac{1}{3(2)^{10}} \frac{\lambda^2}{a^5} \]  

(42)

which agree with Ref. [5] and show that the model is asymptotically free. Furthermore, the effective mass increases or decreases with \( \mu \), and, accordingly, \( b^4 \) is greater or less than \( 2/3m^2a^2 \). By introducing a logarithmic scale, \( t = \ln(\mu/\mu_0) \), where \( \mu_0 \) is a reference scale where the parameters in the Lagrangian have been defined, we may evaluate the flows of the effective parameters as follows:

\[ \frac{\partial \lambda}{\partial t} = \frac{7}{5(2)^{10}} \frac{\lambda^2}{a^3}, \]  

(43)

and

\[ \frac{\partial \lambda}{\partial t} = -\frac{3}{2(1)^{10}} \frac{\lambda^3}{a^5}, \]  

(44)

These equations imply that

\[ \frac{\partial^2 \lambda}{\partial t^2} = \frac{25}{6} \left( \frac{\partial \lambda}{\partial t} \right)^2, \]  

(45)

whose general solution is

\[ \lambda(t) = \frac{c_2}{(19t + 6c_1)^{6/19}}, \]  

(46)

where \( c_1 \) and \( c_2 \) are constants determined by the initial conditions. Using this result, we integrate the equation for \( \lambda \), giving

\[ \bar{\lambda}^5(t) = \frac{c_2^2}{219} (19t + 6c_1)^{7/19}, \]  

(47)

and also

\[ c_1 = \frac{219}{3} \lambda^2 \lambda^2 \]  

and \( c_2 = 64 \pi^{30/19}(\alpha)^{30/19} \lambda^{7/19}. \)  

(48)

Notice that \( \bar{\lambda} \) decreases with \( t \) vanishing at the critical value \( t_{\text{IR}} = -\frac{219}{19} \lambda^2 \). The same happens with the effective parameter \( \bar{b} \) which is given by

\[ \bar{b}^2(t) = \frac{b^2}{(6c_1)^{8/19}} (19t + 6c_1)^{8/19}. \]  

(49)

However, at the same time, the effective coupling constant increases, tending to infinity as \( t \) approaches \( t_{\text{IR}} \). Our perturbative methods are not applicable insofar as \( \lambda \) is not small and no conclusion can be made concerning the restoration of Lorentz symmetry at small momenta.
1. Unitarity in the anisotropic situation

Differently from what happens in Lorentz-invariant theories but with higher space and time derivatives, models where only higher spatial derivatives are introduced preserve unitarity (in this respect, see also Ref. [9]). Let us exemplify this by analyzing the Cutkosky rules [10] for the one-loop contribution to the two-point function of the model (26). Those rules demand that the diagrammatic relation of Fig. 2 be obeyed. The analytic expression for the graph on the left-hand side is

\[ iT = \frac{\lambda^2}{2} \int \frac{dk_0}{2\pi} \frac{d^dk}{(2\pi)^d} \frac{1}{\not{k}^2 - \not{\omega}^2 + i\epsilon} \]

where the only restriction on \( \omega_k \) is that it depends only on the spatial part of the momentum. For the model (26), \( \omega_k^2 = b^2k^2 + a^2(k^2)^2 + m^2 \). By integrating over \( k_0 \) and using the identity

\[ \frac{1}{A \pm i\epsilon} = P\left(\frac{1}{A}\right) \mp i\pi \delta(A), \]

where \( P \) denotes the Cauchy principal value, we get

\[ 2\text{Im} T = \pi\lambda^2 \int \frac{d^dk}{(2\pi)^d} \frac{\delta(p_0 - \omega_k - \omega_{p-k})}{2\omega_k 2\omega_{p-k}}. \]

On the other hand, the expression for the cut diagram on the right of Fig. 2 is obtained by the following replacement of the free propagator

\[ \Delta(k) = \frac{i}{k_0^2 - \omega_k^2 + i\epsilon} \rightarrow \Delta^+(k) = 2\pi\theta(k_0)\delta(k_0^2 - \omega_k^2) \]

and by noticing that the vertex factor on the left of the cut is the complex conjugate of the one on the right of it. Therefore, the graph on the right of Fig. 2 gives

\[ \sum |T|^2 = \frac{\lambda^2}{2} \int \frac{dk_0}{2\pi} \frac{d^dk}{(2\pi)^d} 2\pi \theta(k_0)\delta(k_0^2 - \omega_k^2) 2\pi \theta(p_0 - k_0) \]

\[ \times \delta((p_0 - k_0)^2 - \omega_{p-k}^2), \]

which, after integrating over \( k_0 \), gives the same result as in Eq. (52).

![FIG. 2. Cutkosky rule at one-loop order.](image1)

IV. THE \( \varphi^4 \) MODEL

The \( \varphi^4 \) model with \( \varepsilon = 2 \), specified by the Lagrangian density

\[ \mathcal{L} = \frac{1}{2} \partial_0 \varphi \partial_0 \varphi - \frac{b^2}{2} \partial_i \varphi \partial_i \varphi - \frac{a^2}{2} \varphi^2 \Delta^2 \varphi - \frac{m^2}{2} \varphi^2 - \frac{\lambda}{4!} \varphi^4, \]

(55)

turns out to be renormalizable in 6 spatial dimensions, the degree of superficial divergence being given by

\[ d(G) = 8 - 2N. \]

(56)

If a BPHZ-like scheme is adopted, we find that the Green functions satisfy the equation of motion,

\[ \langle 0|\mathcal{T}\mathcal{N}_8[\varphi(\partial_0^2 \varphi - b^2 \Delta + a^2 \Delta^2 + m^2)]\varphi(x)X|0 \rangle = -\frac{\lambda}{3!} \langle 0|\mathcal{T}\mathcal{N}_8[\varphi^4]\varphi^4(X)X|0 \rangle - \sum_{i=1}^{N} \delta(x - x_i)\langle 0|TX|0 \rangle, \]

(57)

where \( X = \prod_{i}\varphi(x_i) \). This expression may be derived by noting that in momentum space, the operator applied on \( \varphi \), in the left-hand side of the above equation, is equal to \(-i\) times the inverse of the free field propagator,

\[ \Delta_F(k) = \frac{i}{k_0^2 - b^2k^2 - a^2(k^2)^2 - m^2 + i\epsilon}. \]

(58)

To fix the renormalization group parameters, we compute the radiative corrections to the parameters of the model as follows.

The lowest-order correction to the two-point function comes from the tadpole graph in Fig. 3 whose analytic expression is

\[ \mathcal{A} \frac{d^dk}{2\pi} \frac{d^dk}{(2\pi)^d} \frac{1}{k_0^2 - b^2k^2 - a^2(k^2)^2 - m^2 + i\epsilon}. \]

(59)

Since the above integral does not depend on the external momentum, with the help of Eq. (A6), its divergent part is easily obtained, i.e.,

\[ -i \left( -3b^2 + 4a^2m^2 \right) \frac{\lambda}{2048\pi^3} \frac{1}{a^2} \frac{1}{d-6}. \]

(60)

The one-loop contribution to the coupling constant renormalization is also straightforwardly calculated. In fact, we just need to calculate the pole part of

![FIG. 3. Two-point function of order \( \lambda \).](image2)
which, again with the help of Eq. (A6), is found to be

\[ -t + \frac{3}{512 \pi^3} \frac{\lambda^2}{d - 6}. \]  

(62)

To find the lowest-order corrections to the a and b parameters is a much more difficult task since it involves the calculation of a two-loop graph. Actually, only for \( b = 0 \) and \( m = 0 \), closed analytic expressions exist [11]. In that case, one needs to calculate

\[ i \lambda^2 \int \frac{dk_0}{(2\pi)^d} \frac{d^d k}{(2\pi)^d} \frac{d^d q}{(2\pi)^d} \frac{1}{k_0 - a^2(k^2)^2} \frac{1}{q_0 - a^2(q^2)^2} \times \frac{1}{(p_0 - k_0 - q_0)^2 - a^2((p - k - q)^2)^2}, \]  

(63)

whose pole part turns out to be

\[ -i \lambda^2 \frac{\pi^2}{288} \frac{\lambda^2}{a^4} \left[ \frac{1}{a^2} \left( 1 + 3 \ln \left( \frac{79}{72} + 3 \ln \frac{3}{4} \right) \right) p_0^2 + \frac{7}{72} (p^2)^2 \right] \frac{1}{d - 6}, \]  

(64)

yielding the following beta function:

\[ \beta_{\lambda^2} = \frac{\pi^2}{144} \left( \frac{79}{72} + 3 \ln \frac{3}{4} \right) \frac{\lambda^2}{a^4}. \]  

(65)

Similarly, the computation of the pole part of the four-point vertex function furnishes

\[ \beta_{\lambda^2}^{(2)} = \frac{3}{512 \pi^3} \frac{\lambda^2}{a^4}. \]  

(66)

so that the model is infrared-stable. This result will be used in the next section as the renormalization for the Yukawa model demands the inclusion of the \( \varphi^4 \) self-interaction.

Considering the solution of these equations, there is a particular configuration of the initial values of the parameters \( a \) and \( \lambda \), such that the system of differential equations above exhibits a simple analytical solution. In fact, denoting the constants appearing in above equations by \( A \equiv \frac{\pi^2}{144} \left( \frac{79}{72} + 3 \ln \frac{3}{4} \right) \) and \( B \equiv \frac{3}{512 \pi^3} \), we can get from Eqs. (65) and (66) the following equation for the effective coupling constant:

\[ \frac{3A}{2B^2} \left( \frac{\partial \tilde{\lambda}}{\partial t} \right)^3 - 2\lambda \left( \frac{\partial \tilde{\lambda}}{\partial t} \right)^2 + \lambda^2 \left( \frac{\partial^2 \tilde{\lambda}}{\partial t^2} \right) = 0, \]  

(67)

which admits the solution

\[ \tilde{\lambda}(t) = \tilde{\lambda}(0)e^{(3A/2B^2)t}. \]  

(68)

From (65), we obtain

\[ \bar{a}(t) = \left[ \frac{9A^2}{4B^2} \tilde{\lambda}^2(0)(e^{(3A/2B^2)t} - 1) + \bar{a}^2(0) \right]^{(1/6)}. \]  

(69)

But this will be a solution of the system only under the condition \( \bar{a}^2(0) = \frac{9A^2}{4B^2} \tilde{\lambda}^2(0) \), yielding

\[ \bar{a}(t) = \left( \frac{9A^2}{4B^2} \tilde{\lambda}^2(0) \right)^{(1/6)} \bar{a}^{(1/6)}e^{(3A/2B^2)t}. \]  

(70)

This result shows us that the Lorentz-symmetry-breaking parameter \( \bar{a} \) goes to zero as \( t \to -\infty \), i.e., in the infrared region. On dimensional grounds, we see that these solutions would not suffer modifications even in the presence of the parameters \( b \) and \( m \), such as in the Lagrangian (55). So, we conclude that in this case, the Lorentz symmetry can be approximately recovered in a sufficient low scale of the energy.

V. A RENORMALIZABLE YUKAWA MODEL

WITH \( z = 2 \)

The analysis of the previous sections indicates that anisotropic models which are infrared-stable are the best candidates to show Lorentz symmetry restoration at small energies. To further investigate this possibility, we consider now a model of boson and fermion fields interacting through the Lagrangian density,

\[ L = \frac{1}{2} \bar{\psi} \gamma^0 \partial_0 \psi + \frac{b^2}{2} \bar{\psi} \gamma^0 \partial_\gamma \psi \partial_\gamma \psi - \frac{m^2}{2} \varphi^2 - \frac{a^2}{2} \varphi \Delta^2 \varphi \]

\[ + \bar{\psi}(i \gamma^0 \partial_0 + ib \varphi \gamma^0 \partial_\gamma + a \varphi \Delta - M) \psi \]

\[ + ig \bar{\psi} \Gamma^5 \psi \varphi - \frac{\lambda^4}{4!} \varphi^4, \]  

(71)

where \( \Gamma^5 \) is the chiral matrix. In momentum space, the free propagators derived from the above expression are

\[ \Delta_F(k) = \frac{i}{k^2 - b^2 \varphi^2 - a \varphi^2 (k^2)^2 - M^2}, \]  

(72)

for the bosonic field \( \varphi \) and

\[ S_F(k) = \frac{i}{\gamma^0 k^0 - b \gamma \cdot k - a \varphi k^2 - M}, \]  

(73)

for the fermion field. The interaction between the basic fields is given by the nonquadratic part of Eq. (71) so that the corresponding Feynman rules are as depicted in Fig. 4.

With the anisotropic scaling exponent \( z = 2 \), the model is renormalizable in \( d = 6 \) spatial dimensions and one time dimension. Indeed, in this situation, the degree of superficial divergence for a generic graph \( G \) is

\[ d(G) = 8 - 2N_B - 3N_F, \]  

(74)

where \( N_B \) and \( N_F \) are the number of external boson and fermion lines of \( G \). As the spacetime dimension is odd, we will work with gamma matrices adequate for eight dimensions as to be able to define the chiral matrix as (notice that this assumption is in accord with the fact that the effective dimension of the underlying spacetime is eight)
\[ \Gamma^5 \equiv i \prod_{\mu=0}^{7} \gamma^\mu. \]  

The above choice for the interaction term prevents the induction of counterterms proportional to \( \varphi^3 \), \( \varphi \), and \( \bar{\psi} \psi \varphi \) and so is simpler than the other possible renormalizable interaction \( \bar{\psi} \psi \varphi \).

As usual, we define renormalized quantities through the replacements

\[ \varphi \rightarrow Z_\varphi^{1/2} \varphi, \]  

\[ \psi \rightarrow Z_{\bar{\psi}}^{1/2} \psi, \]  

\[ g \rightarrow Z_g \frac{g}{Z_\varphi^{1/2}} g, \]  

\[ \lambda \rightarrow Z_\lambda \frac{\lambda}{Z_\varphi^{1/2}} \lambda \]  

where, as we are considering the eight-dimensional representation, the gamma matrices are 2\(^4\)-dimensional and obey \( \text{Tr} \gamma^\mu = 0 \) and \( \text{Tr} \gamma^\mu \gamma^\nu = 2^4 g^{\mu \nu} \).

As before, for generic momenta, the computation of \( \Sigma_\varphi(p) \) is cumbersome and probably unfeasible. However, to extract its pole part, we may proceed as in Sec. III. After discarding some finite contributions, the term of the fourth-order derivative with respect to the spatial momenta gives

\[ g^2 2^4 \frac{P_i P_j p_k p_l}{4!} \int \frac{d^4k}{2\pi} \frac{d^4k}{(2\pi)^d} \left[ \frac{-k_0^2}{\text{den}} \frac{\partial^4}{\partial k_i \partial j_\ell \partial k_l \partial k_l} \frac{1}{1} \right] a_{\varphi}^2 \mathbf{k}^2 \]  

\[ + \frac{a_{\varphi}^2 \mathbf{k}^2}{\text{den}} \frac{\partial^4}{\partial k_i \partial j_\ell \partial k_l \partial k_l} \mathbf{k}^2 \]  

where, for simplicity, we have defined \( \text{den} = k_0^2 - b_{\varphi}^2 \mathbf{k}^2 - (a_{\varphi}^2 \mathbf{k}^2 + M)^2 \). Thus, we have to compute integrals of the form

\[ \int \frac{d^4k}{2\pi} \frac{d^4k}{(2\pi)^d} \left[ k_0^2 - b_{\varphi}^2 \mathbf{k}^2 - (a_{\varphi}^2 \mathbf{k}^2 + M)^2 \right]. \]  

For the computation of the renormalization constants, we shall use the dimensional reduction scheme [12] in which all algebraic simplifications are done with the Dirac matrices as introduced above and, afterward, the integrals are promoted to \( d = 6 - \epsilon \) spatial dimensions. The ambiguities that may be present in such a procedure [13] only manifest themselves in higher orders and do not affect our one-loop computations. Up to one-loop order, the relevant graphs are shown in Figs. 5–8. We begin by considering the order \( g^2 \) radiative correction to the self-energy of the field \( \varphi \), Fig. 5(a), whose unsubtracted analytic form is

\[ \Sigma_\varphi(p) = g^2 \int \frac{d^4k}{2\pi} \frac{d^4k}{(2\pi)^d} \text{Tr} \left[ \Gamma^5 \gamma^\mu k^\mu - b_{\varphi} \gamma \cdot \mathbf{k} - a_{\varphi} \mathbf{k}^2 - M \Gamma^5 \gamma^\mu (k^\mu + p^\mu) - b_{\varphi} \gamma \cdot (\mathbf{k} + \mathbf{p}) - a_{\varphi} (\mathbf{k} + \mathbf{p})^2 - M \right]. \]  

The other terms in the Taylor expansion may be calculated similarly. We find that the pole part of the self-energy of the field is

\[ J(x, y, z) = \int \frac{d^4k}{2\pi} \frac{d^4k}{(2\pi)^d} \frac{k_0^2 |\mathbf{k}|^\nu}{[k_0^2 - b_{\varphi}^2 \mathbf{k}^2 - (a_{\varphi}^2 \mathbf{k}^2 + M)^2].} \]  

which, up to some finite terms, can be read from Appendix A, by sequentially making the replacements \( m^2 \rightarrow M^2 \), \( b^2 \rightarrow b_{\varphi}^2 + 2M \alpha_{\varphi} \), and \( a^2 \rightarrow a_{\varphi}^2 \). The pole part at \( d = 6 \) then

\[ \frac{-i}{2^7 \pi^7} \frac{g^2}{a_{\varphi}^2} \frac{1}{d - 6} (p^2)^2. \]
The one-loop contribution to the three-point vertex function, Fig. 7, furnishes

\[-i\int \left[ \frac{3b^2_0 + 12a_0b_0^2M + 8a_0^2M^2}{64\pi^3} \frac{1}{a_\phi^4} + \frac{1}{32\pi^3} \frac{1}{a_\phi^2} p_0^2 + \frac{M}{16\pi^3} \frac{1}{a_\phi} p^2 + \frac{1}{3(2)^{7/2}} \frac{1}{(p^2)^2} \right] \frac{g^2}{a_\phi} \frac{1}{d-6}, \quad (85)\]

The tadpole graph has already been considered in our study of the $\phi^4$ model, and we simply quote that the result is given by Eq. (60).

Let us now consider the one-loop contribution to the self-energy of the fermion field which corresponds to the graph in Fig. 6. The analytic expression is

\[\Sigma_\phi(p) = -g^2\int \frac{dk_0}{2\pi} \frac{d^dk}{(2\pi)^d} \Gamma^8 \frac{1}{\gamma^0k^0 - b_\phi \gamma \cdot k - a_\phi k^2 - M} \Gamma^8 \frac{1}{(p_0 - k_0)^2 - b_\phi^2(p - k)^2 - a_\phi^2[(p - k)^2]^2 - m^2} \]

\[= -g^2\int \frac{dk_0}{2\pi} \frac{d^dk}{(2\pi)^d} \frac{-\gamma^0k^0 + b_\phi \gamma \cdot k + a_\phi k^2 + M}{k^2 - b_\phi^2 k^2 - (a_\phi k^2 + M)^2} \frac{1}{(p_0 - k_0)^2 - b_\phi^2(p - k)^2 - a_\phi^2[(p - k)^2]^2 - m^2}. \quad (86)\]

From this, we get the pole terms:

(a) Term with two derivatives with respect to the spatial part of the external momentum:

\[-i \frac{a_\phi(3a_\phi + a_0)}{3(2)^{7/2}} \frac{g^2p^2}{a_\phi(a_\phi + a_0)^2} \frac{1}{d-6}. \quad (87)\]

(b) Term with one derivative with respect to $p_0$:

\[-i \frac{g^2}{(2\pi)^3} \frac{a_\phi(a_\phi + a_0)^2}{a_\phi^2} \frac{1}{d-6} p_0 \gamma^0. \quad (88)\]

(c) Term with one derivative with respect to the spatial part of the external momentum:

\[i \frac{1}{3(2)^{7/2}} \frac{(2a_\phi + a_0)b_\phi}{a_\phi(a_\phi + a_0)^2} \frac{g^2}{d-6} P \cdot \gamma. \quad (89)\]

(d) Term without derivatives:

\[-\frac{1}{2^8\pi^3} \frac{g^2}{a_\phi^2} \frac{[a_\phi^2(2a_\phi + a_0)b_\phi^2 + a_\phi^2(2a_\phi^2 + a_0)b_\phi^2 + 2a_\phi^2a_\phi^2M]}{(a_\phi + a_0)^2} \frac{1}{d-6}. \quad (90)\]

The one-loop contribution to the three-point vertex function, Fig. 7, furnishes

\[-\frac{1}{2^7\pi^3} \frac{1}{a_\phi^2(a_\phi + a_0)} \frac{g^3\Gamma^5}{d-6}.\quad (91)\]

whereas for the four-point vertex function of the bosonic field shown in Fig. 8, we obtain

\[i \frac{1}{16\pi^3} \left[ \frac{6}{a_\phi^3} g^4 - \frac{1}{2^5a_\phi^2} \lambda^2 \right] \frac{1}{d-6}. \quad (92)\]

The vertex functions of this model satisfy the renormalization group equation

![FIG. 6. Two-point fermionic function.](image1)

![FIG. 7. Three-point vertex function.](image2)
$$D_\phi = \delta_M \frac{\partial}{\partial M} + \beta_\theta \frac{\partial}{\partial \theta} + \beta_a \frac{\partial}{\partial a}. \quad (95)$$

The parameter \(\mu\) was introduced through the following replacements of the coupling constants \(g \rightarrow g\mu^{\epsilon/2}\) and \(\lambda \rightarrow \lambda \mu^{\epsilon}\). By substituting the one-loop expansions of the renormalized vertex functions, we then get the various beta functions (see Appendix B for details), with the arguments indicating the order of the coupling constant in which they have been calculated:

\[
\beta_{\theta}(g^2) = \frac{1}{32\pi^3} \left( \frac{b_\theta^2 + 2a_\theta M}{a_\theta^4} \right) g^2, \quad (96)
\]

\[
\beta_{a}(g^2) = \frac{1}{128\pi^3} \left( \frac{4a^2 + a^2_\theta}{a_\theta^4} \right) g^2, \quad (97)
\]

\[
\beta_{b}(g^2) = \frac{b_\theta}{192\pi^3} \left( \frac{a_\theta + 2a_\theta}{a_\theta^2} \right) g^2, \quad (98)
\]

\[
\beta_{a}(g^2) = \frac{1}{192\pi^3} \left( \frac{3a_\theta + 2a_\theta}{a_\theta^2} \right) g^2, \quad (99)
\]

The equations that govern the evolution of the effective Lorentz-symmetry-breaking parameters may be separated in two sets. In the first one are the equations for \(\bar{a}_\phi, \bar{b}_\phi,\) and \(\bar{g}\), which do not depend on the remaining parameters. In the second set are the equations for the other parameters \(\tilde{b}_\phi\) and \(\tilde{b}_\phi\); those need the input of the former set to be evaluated. Unfortunately, these equations do not seem to have simple analytic solutions so that we use numerical methods to investigate their properties. Before proceeding, we need to stress what we mean by restoration of the Lorentz symmetry in this model. Obviously, we can never take the symmetry-breaking parameters \(a_\phi\) and \(a_\phi\) equal to zero, since we would end up with a nonrenormalizable theory. In this sense, we cannot expect an exact Lorentz symmetry, which would correspond \(a_\phi = a_\phi = 0\) and \(b_\phi = b_\phi\); these are not even special points (for example, fixed points) of the above renormalization group equations. However, we can consider the possibility of an approximate Lorentz symmetry arising in some specific low-energy limit, depending on a fine-tuning of the parameters involved. More precisely, we may find a region where the parameters \(\bar{a}_\phi\) and \(\bar{a}_\phi\) are sufficiently small, and, furthermore, the parameters \(\tilde{b}_\phi\) and \(\tilde{b}_\phi\) are such that \(\tilde{b}_\phi = \tilde{b}_\phi\). Of course, this is not the ideal situation, but it could furnish a

\[
\delta_M(g^2) = \frac{\left[ 2a_\theta^2 b_\phi^2 + a_\phi b_\phi^2 + a_\phi b_\phi^2 + 2a_\theta^2 a_\phi b_\phi + 2a_\theta^2 M \right]}{256\pi^3 a_\phi^2 a_\phi(a_\phi + a_\phi)^2} g^2. \quad (102)
\]

As Eq. (96) involves the mass of the fermion field, we need also the corresponding renormalization group function:

\[
\beta_{g^3} = \frac{1}{256\pi^3} \left[ \frac{2a_\theta^4 + 4a_\theta^2 a_\phi + 3a_\theta a_\phi^2 + 2a_\phi^3}{a_\phi^3 a_\phi(a_\phi + a_\phi)^2} \right] g^3 \quad (100)
\]

and

\[
\beta_{\lambda} = \frac{3}{512\pi^3} \frac{\lambda^2}{a_\phi^2} - \frac{3}{8\pi^3} \frac{g^4}{a_\phi} + \frac{1}{16\pi^3} \frac{\lambda g^2}{a_\phi}. \quad (101)
\]
positive view concerning the Lorentz symmetry restoration in anisotropic field theories. To get a better insight on this possibility, we performed a numerical study, as described below.

Our results, obtained through the use of the numerical package of MATHEMATICA (and also checked with the Runge-Kutta 4th-order method), show that the fermionic parameters change much more slowly than the bosonic ones. Actually, the changes of the tangents to the curves of some parameters are so small producing the impression that they are straight lines. At higher momenta, the coupling constant $g$, $\tilde{a}_\psi$, and $\tilde{b}_\psi$ increase steeply for $t \sim 10^9$, indicating the existence of a singularity similar to the Landau pole found in many not-asymptotically-free field theories, see Figs. 9–11. By contrast, $\tilde{a}_\phi$ and $\tilde{b}_\phi$ increases slowly as shown in Fig. 9 and 10. For negative $t$, corresponding to the small momenta region, the general pattern is that all parameters decrease; as remarked before, the parameters associated to the $\psi$ field do that at a slower rate than those associated to the $\phi$ field (see Figs. 12 and 13). The graphical figures were drawn by taking the initial value $\tilde{g}(0) = 10^{-3}$ for the coupling constant and the initial values of the other parameters all equal to one. Variations of these initial values do not qualitatively change the behavior of the effective parameters. It should be noted that below the lower ends of the curves, the data are not reliable as the modulus of $t$ is very large, whereas, at the same time, the effective parameters become very small, possibly generating large numerical errors.

Thus, given a low-energy region, in order to have an approximate Lorentz symmetric situation, one should
modify the initial configuration of the parameters $a'_\varphi$ and $a_\varphi$ so that they will attain the desired range of values in the specified region. For example, as the $\tilde{a}$’s monotonically decrease when $t$ is negative, this can be easily done by choosing the initial values of $a'_\varphi$ and $a_\varphi$ to be equal to the maximum values they should have in the region of interest. Moreover, we need to adjust the initial configuration values of the $b'_\varphi$ and $b_\varphi$ parameters, so that $b'_\varphi = b_\varphi$ in the same region. Notice that these two requirements can be satisfied due to the decoupling of the equations for $\tilde{a}$’s and $\tilde{b}$’s, as mentioned before.

VI. CONCLUSIONS

In this work, we have examined some aspects of anisotropic field theories as renormalization properties and Lorentz-symmetry restoration. In particular, we performed a renormalization group analysis of various models aiming to understand the infrared behavior of their effective parameters. Initially, we considered models without higher derivatives but with fields with different light velocities; then, in two specific instances, we verified that the breaking of Lorentz invariance is soft, i.e., the Lorentz symmetry is recovered at low energies.

In the general situation where higher spatial derivatives are present, unless for super-renormalizable models, the idea that Lorentz symmetry is restored at low energies requires the interactions to be infrared-stable and some process of dimensional reduction, to cope with eventual divergences appearing whenever the higher-derivative terms are eliminated. It should be pointed out that, as may be straightforwardly derived from Eq. (22), the spatial dimensions in which the anisotropic, $d$, and Lorentz symmetric, $d_L$, versions of a given model without derivative couplings are renormalizable are related by $d = zd_L$, where $z$ is the critical exponent which characterizes the anisotropy.

In the case of the $\varphi^3$ model, although the breaking parameters decrease with the energy, because of the asymptotic freedom of the model, the effective coupling increases, and no conclusion can be achieved on the restoration of Lorentz symmetry within this perturbative approach.

Concerning the behavior of the parameters under the renormalization group, a more favorable situation occurs for the $\varphi^4$ and Yukawa models which are infrared-stable. For the $\varphi^4$ model, we found a special analytical solution in which the effective parameters increase monotonically from zero in the infrared to very large values at high momenta. For the Yukawa model, the system of equations that govern the changes of the effective parameters are intricate enough, and no closed analytical expression seems to be feasible. Our numerical solution revealed that for high momenta, a singularity, like the Landau pole, is present, but for small momenta, all Lorentz-breaking parameters decrease. As discussed in the text, our results indicate that we can find specific low values of the energy scale where the approximate Lorentz symmetry may be achieved (in the sense of small $\tilde{a}$’s and $\tilde{b}$’s), which nevertheless requires a fine-tuning of the initial values of the parameters.

In this study, we have restricted ourselves to models with $z = 2$. As we have seen, the investigation of the Lorentz symmetry restoration involves the determination of the infrared behavior of the Lorentz-breaking parameters, and, thus, for models with higher values of $z$, which have more parameters, the situation becomes more complex. Another lateral remark concerns models with gauge symmetry; in this case, to keep the symmetry, covariant derivatives have to be used so that in the perturbative approach, new interaction terms must to taken into account. For a discussion of the symmetries using the BPHZ approach on anisotropic models, see the sequel [14] of this work.

We hope that the ideas and methods we presented may be useful also in condensed matter physics, in the contexts of quantum phase transitions and Lifshitz models, i.e., in situations where the kind of anisotropy considered here may be a natural concept [15,16].

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APPENDIX A: INTEGRALS

In this appendix, we will compute the pole part of some integrals that are relevant in our calculations. We begin by considering the following integral:

\[ J(x, y, z) = \int \frac{dk_0}{2\pi} \frac{d^dk}{(2\pi)^d} \frac{k_0^y|k|^y}{[k_0^2 - b^2k^2 - a^2(k^2)^2 - m^2 + i\epsilon]^2} \]  

(A1)

where \( x, y, \) and \( z \) are such that the integral is at most quartically divergent when the dimensional regularization is removed.

We use the representation

\[ J(x, y, z) = \frac{1}{i\Gamma(z)} \int \frac{dk_0}{2\pi} \frac{d^dk}{(2\pi)^d} k_0^y|k|^y \int_0^\infty d\gamma \gamma^{z-1} e^{i\gamma(b^2k^2 - a^2(k^2)^2 - m^2 + i\epsilon)} \]

(A2)

Now,

\[ \int \frac{dk_0}{2\pi} k_0^y e^{i\gamma k_0^2} = \frac{1}{4\pi} (1 + (-1)^y)(-i\gamma)^{-(1+y)/2}\Gamma\left(\frac{x+1}{2}\right). \]  

(A3)

and, denoting by \( \Omega_d = 2\pi^{d/2}/\Gamma(d/2) \) the volume of the \( d \)-dimensional unit sphere,

\[ \int \frac{d^dk}{(2\pi)^d} |k|^y e^{i\gamma(-b^2k^2 - a^2(k^2)^2)} = \frac{\Omega_d}{(2\pi)^d} \left[ \frac{(i\gamma)^{(d-y)/4}}{4} \left( \frac{\Gamma\left(\frac{d+y}{4}\right)}{\Gamma\left(\frac{d}{4}\right)} F_1\left(\frac{d+y}{4}, \frac{1}{2}, \frac{i\gamma b^4}{4a^2}\right) \right. \right. \]

\[ - \frac{(i\gamma)^{(d+y)/4}}{4} \frac{1}{b^2y\Gamma\left(\frac{d+y+2}{4}\right)} F_1\left(\frac{d+y+2}{4}, \frac{3}{2}, \frac{i\gamma b^4}{4a^2}\right) \]  

(A4)

where \( F_1 \) is the confluent hypergeometric function. The divergence that appears in Eq. (A2) when the dimensional regularization is removed is due to the behavior of integrand for small \( \gamma \). Thus, to obtain its pole part, we may use the approximations

\[ F_1\left(\frac{d+y}{4}, \frac{1}{2}, \frac{i\gamma b^4}{4a^2}\right) = 1 + \frac{b^4(d+y)}{8a^2} \gamma + O(\gamma^2), \quad F_1\left(\frac{d+y+2}{4}, \frac{3}{2}, \frac{i\gamma b^4}{4a^2}\right) = 1 + O(\gamma). \]  

(A5)

Notice that in the last expansion, we need to consider just the zeroth-order term because of the \( \gamma^{1/2} \) additional factor in Eq. (A4). All powers of \( \gamma \) greater than those that we have considered produce finite results. It is now straightforward to perform the remaining integrals, and we obtain

\[ J(x, y, z) = \frac{1}{i\Gamma(z)} \frac{(1 + (-1)^y)}{2} \Gamma\left(\frac{x+1}{2}\right) \frac{1}{(4\pi)^{d/2}} \left\{ \frac{a^{-(d+y+4)}}{\Gamma\left(\frac{d+y+4}{4}\right)} \right\} \]

\[ \times \left[ d^4\Gamma\left(\frac{d+y}{4}\right) (-1)^{1/8(2-d-y)} e^{i\pi/4} (im^2)^{1/4} (2d+2z^2+y-4z) \Gamma\left(-d - 2x + y + 4z\right) \right. \]

\[ + i\Gamma\left(\frac{d+y}{4}\right) \frac{8}{b^4} (d+y)(-1)^{1/8(2-d-y)} e^{i\pi/4} (im^2)^{1/4} (2d+2z^2+y-4z) \Gamma\left(-d - 2x + y + 4z\right) \]

\[ - i\Gamma\left(\frac{d+y+2}{4}\right) (-1)^{1/8(2-d-y)} e^{i\pi/4} (im^2)^{1/4} (2d+2z^2+y-4z) \Gamma\left(-d - 2x + y + 4z\right) \right\} \]  

(A6)

Another typical integral that occurs in our calculation involves the product of propagators with different parameters as in

\[ \int \frac{dk_0}{2\pi} \frac{d^dk}{(2\pi)^d} \frac{1}{(k_0^2 - b^2k^2 - a^2(k^2)^2 - m^2)^2} \frac{1}{(k_0^2 - b_1^2k^2 - a_1^2(k^2)^2 - m_1^2)^2}. \]  

(A7)
In this case, we first join the two denominators by using Feynman trick,

\[ \frac{1}{A^2} = \frac{\Gamma(z_1 + z_2)}{\Gamma(z_1)\Gamma(z_2)} \int_0^1 dx \frac{x^{z_1-1}(1-x)^{z_2-1}}{[Ax + B(1-x)]^{(z_1+z_2)}}. \]  

(A8)

and then apply the formula (A6).

**APPENDIX B. RENORMALIZATION-GROUP PARAMETERS**

Here, we will present some details of the derivation of renormalization-group parameters listed in the text. First, notice that the boson and fermion two-point vertex functions are given by

\[ \Gamma^{(2)}(\varphi) = i[p_0^2 - b_\varphi p^2 - a_\varphi(p^2)^2 - m^2 - g^2(\text{Finite}_1 - \ln \mu \text{Residue}_1) - i\lambda(\text{Finite}_2 - \ln \mu \text{Residue}_2)], \]

(B1)

\[ \Gamma^{(2)}(\psi) = i[\gamma^0 p^0 - b_\psi \gamma \cdot p - a_\psi p^2 - M + g^2(\text{Finite}_3 - \ln \mu \text{Residue}_3)], \]

where the residues have the form

Residue_1 = A_1 + A_2 p_0^2 + A_3 p^2 + A_4(p^2)^2 \quad \text{and} \quad \text{Residue}_2 = A_1, \]

Residue_3 = B_1 + B_2 \gamma^0 p^0 + B_3 \gamma \cdot p + B_4 p^2, \]

and the A’s and B’s can be read directly from Eqs. (85) and (87)–(90). Similarly, the three-point fermion-boson vertex function and four-point of the boson field have the expressions

\[ \Gamma^{(3)} = -g \Gamma^5 - g^3(\text{Finite}_4 - \ln \mu \text{Residue}_4), \]

(B5)

\[ \Gamma^{(4)} = -i\lambda + g^4(\text{Finite}_5 - \ln \mu \text{Residue}_5) - \lambda^2(\text{Finite}_6 - \ln \mu \text{Residue}_6), \]

(B6)

where Residue_i, i = 4, 5, 6, are given by

Residue_4 = C_1 = \frac{1}{128\pi^3} \frac{1}{a_\varphi^3 a_\psi^3} \Gamma^5, \]

Residue_5 = D_1 = \frac{3i}{8\pi^3} \frac{1}{a_\varphi^3}, \]

(B7)

By replacing these expressions into Eq. (93) and equating to zero the coefficient of each power of the coupling constant, we get

\[ \beta_\lambda(g^4) = iD_1 g^4, \quad \beta_\lambda(\lambda^2) = -iD_1 \lambda^2 \]

(B9)

and

\[ \beta_\lambda(\lambda g^2) = 4\lambda \gamma \varphi(g^2), \]

(B10)

so that

\[ \beta_\lambda = \frac{3}{512\pi^3} \frac{\lambda^2}{a_\varphi} - \frac{3}{8\pi^3} \frac{g^4}{a_\varphi} + \frac{1}{16\pi^3} \frac{\lambda g^2}{a_\varphi}. \]

(B11)

We have also

\[ \beta_{\varphi \psi}(g^2) = -(b_\varphi A_2 + A_3)g^2 = \frac{1}{32\pi} \frac{(b_\varphi^2 + 2a_\varphi M)}{a_\psi^4} g^2, \]

(B12)

\[ \beta_{\varphi \psi}(g^2) = -(a_\psi A_2 + A_4)g^2 = \frac{1}{128\pi^3} \frac{(4a_\varphi^2 + a_\psi^2)}{a_\psi^4} g^2, \]

(B13)

\[ \beta_{\psi \psi}(g^2) = -(b_\psi B_2 + B_3)g^2 = \frac{b_\psi}{192\pi^3} \frac{(a_\varphi + 2a_\psi)}{a_\psi a_\varphi (a_\varphi + a_\psi)^2} g^2, \]

(B14)

\[ \beta_{\psi \psi}(g^2) = -(a_\psi B_2 + B_4)g^2 = \frac{1}{192\pi^3} \frac{a_\varphi (3a_\varphi + 2a_\psi)}{a_\psi a_\varphi + a_\psi a_\varphi} g^2, \]

(B15)

and

\[ \beta_{\psi}(g^3) = \left( -\frac{A_2}{2} - B_2 + C_1 \right) g^3 = \frac{1}{256\pi^3} \frac{2a_\psi^3 + 4a_\varphi a_\psi + 3a_\psi a_\varphi^2 + 2a_\varphi^3}{a_\varphi a_\psi a_\psi + a_\psi a_\varphi} g^3, \]

(B16)


